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PATENT
ATTORNEY DOCKET NO. STEM1170-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #7/K.T.

Applicants: Upshall et al.
Serial No.: 09/961,227
Filed: September 20, 2001
Title: METHOD OF TREATMENT OF TUMORS USING TRANSFORMING GROWTH FACTOR-ALPHA

Art Unit: 1615
Examiner: Upshall et al.

12/10

I.D.S.

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of each is enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, November 22, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Jason Berry

Name of Person Mailing Paper

Signature

Jason Berry

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In the Application of
Upshall et al.
Application Serial No.: 09/961,227
Filed: September 20, 2001

PATENT
Attorney Docket No.: STEM1170-1

This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 11/22/03

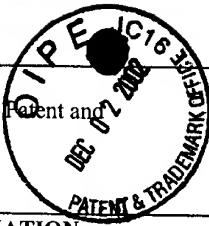

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U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	4,742,003	05/03/1988	Derynck and Goeddel			
	AB	4,749,683	06/07/1988	Murphy and Rhodes			
	AC	4,863,899	09/05/1989	George J. Todaro			
	AD	4,863,902	09/05/1989	Amagase et al			
	AE	4,874,746	10/17/1989	Antoniades and Lynch			
	AF	5,102,870	04/7/1992	Florine and Smith			
	AG	5,229,493	07/20/1993	Folkman et al.			
	AH	5,328,986	07/12/1994	Folkman and Shing			
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	AP	5,981,165	11/09/1999	Weiss and Reynolds			

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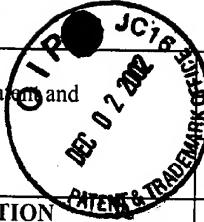
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	AX	WO 84/01106	03/29/1984	PCT			
	AY	0 154 434 A1	09/11/1985	EP			
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	BB	WO 99/06060	02/11/1999	PCT			
	BC	WO 00/44400	08/03/2000	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	BD	Blikslager, Anthony T. et al., "Glutamine and Transforming Growth Factor- α Stimulate Extracellular Regulated Kinases and Enhance Recovery of Villous Surface Area In Porcine Ischemic-Injured Intestine," <i>Surgery</i> , Vol. 125, No. 2, February 1999, pgs. 186-194.
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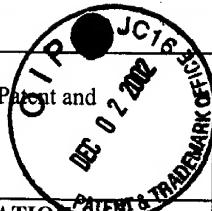
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	BJ	Coffey, Robert J. et al., "Transforming Growth Factors and Related Peptides in Gastrointestinal Neoplasia," <i>Journal of Cellular Biochemistry</i> , Supplement 16G, 1992, pgs. 111-118.
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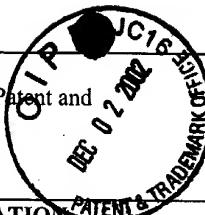
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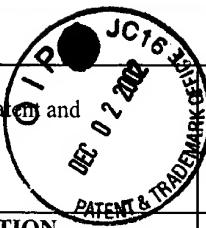
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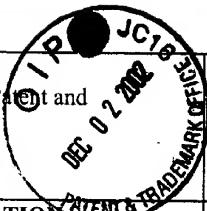
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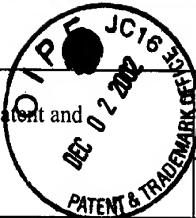
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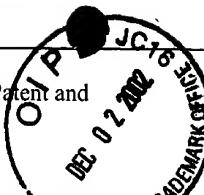
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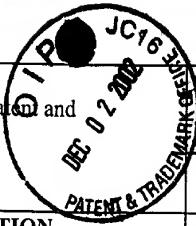
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Zhang, Maobin et al., "Transforming Growth Factor α and a PC 12-Derived Growth Factor Induce Neurites in PC12 Cells and Enhance the Survival of Embryonic Brain Neurons," *Cell Regulation*, Vol. 1, June 1990, pgs. 511-521.**RECEIVED**

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

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